



**SECURITIES AND
FUTURES COMMISSION**
證券及期貨事務監察委員會

**LICENCE UNDER THE
SECURITIES AND FUTURES ORDINANCE, CAP. 571**

牌照《證券及期貨條例》(香港法例第 571 章)

Name of corporation **Bright Moon Capital Limited**
持牌法團 銘皓資本有限公司

Central entity no. **BVQ938**
中央編號

Date of Issue **5 August 2025**
簽發日期 2025 年 8 月 5 日

The above-mentioned person is licensed to carry on the following regulated activities:
上述法團已獲發牌進行以下受規管活動:

Type 4: Advising on Securities	第 4 類: 就證券提供意見
Type 9: Asset Management	第 9 類: 提供資產管理

This licence is granted on the following conditions:
此牌照須受以下條件規限:

- The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance.

持牌人不得持有客戶資產。“持有”及“客戶資產”的定義已在《證券及期貨條例》的釋義條文內界定。
- The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation.

持牌人只可向專業投資者提供服務。“專業投資者”的定義在《證券及期貨條例》及其附屬法例的條文內界定。

5 August 2025

By Post and WINGS Mail

PRIVATE AND CONFIDENTIAL

Bright Moon Capital Limited
c/o EPIL Professional Services Limited
Unit C, 7/F., Queen's Centre, 58-64 Queen's Road East,
Wanchai
Hong Kong

Dear Sirs,

Re: Application for a licence under the Securities and Futures Ordinance

You have applied for a licence to carry on Type 4 and 9 regulated activity(ies).

Application to carry on Type 4 and 9 regulated activity(ies)

The Commission has granted you a licence under section 116(1) of the Securities and Futures Ordinance ("SFO") to carry on the following regulated activities:

Type	Regulated activity
4	Advising on Securities
9	Asset Management

Your printed licence is enclosed in the Post Mail.

Conditions

The licence is issued to you subject to the following statutory conditions as stated in section 118(1) of the SFO.

1. You shall lodge and maintain with the Commission such security in respect of a regulated activity as may be required by rules made under section 116(4) of the Ordinance; or is insured, in lieu of lodging and maintaining such security, in accordance with rules made under section 116(5) of the Ordinance.
2. In relation to a regulated activity, there is at least one responsible officer of your corporation who is available at all times to supervise the business of that regulated activity.

In addition, the Commission has imposed the following conditions on your licence:



Our Ref: BVQ938

1. The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance.
2. The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation.

Please note that a unique identifier BVQ938 known as CE number has been assigned to you. We would appreciate it if you could quote this CE number in your future correspondence with the Commission.

Licensing, Intermediaries

Enclosure

(*This is a computer generated letter and no signature is required.*)

/ftclui1

Reply slip

Your reference BVQ938
To : Candy Cheng
 Manager, Intermediaries
 Securities and Futures Commission

Please be advised that the financial year end of Bright Moon Capital Limited is _____(Day) / _____(Month) and the business commencement date in relation to the company's licence is _____(Day) / _____(Month) / _____(Year).

Signature : _____

Name : _____

Capacity : _____

Date : _____

* Please deliver this return slip duly completed and signed to the Commission within one month from the date of licence. If the company has not commenced business within the said period, please leave the business commencement date blank and make separate notification to the Commission in due course